

Portal Services Guide

Energy Innovation Toolkit Portal

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About the Portal

The Australian Energy Regulator (AER) has developed the **Energy Innovation Toolkit Portal** (the **Portal**) to provide a streamlined process for users of the Energy Innovation Toolkit (the **Toolkit**) to:

- seek informal guidance from the Innovation Enquiry Service (IES), or
- apply for **trials** (including presently trial waivers, and soon also trial rule changes) offering regulatory relief, facilitated through waivers issued by the AER and the Essential Services Commission (ESC), or rule changes administered by the Australian Energy Market Commission (AEMC).

The Portal allows you to lodge and manage your questions and applications in a central case-management system, enabling Toolkit Project Partners (the AER, AEMC, ESC and the Australian Energy Market Operator) to collaborate in assessing your applications and providing responses to your queries.¹

This Guide is for users who have already accessed the EIT website and want to find out more about how the process of applying for a trial or seeking a response to an IES enquiry will work. In this Guide, we provide information on how to use the Portal, what sort of information can assist your application, and how we will respond to your enquiry or application.

More information about the Toolkit can be <u>found on our website</u>², including:

- information relating to the scope of our services
- the roles and responsibilities of Toolkit Project Partners
- information about the Australian energy environment, as well as interactive tools and resources explaining energy regulation.

Who is the Portal for?

The Portal is for people intending to apply to the Toolkit for either:

- (a) informal guidance through the IES
- (b) a trial waiver from the Australian Energy Regulator or the ESC, or
- (c) a trial rule change from the AEMC.

More information about each of these applications, including what can and cannot be applied for, is <u>available on the website</u>.³

¹ Note: while the Australian Renewable Energy Agency (ARENA) is a Toolkit Project Partner, ARENA will not have a role in the assessment or monitoring of trial projects, and will not have access to the Energy Innovation Toolkit Portal. Instead, ARENA will direct innovators to the Energy Innovation Toolkit to ensure that their projects are supported with regulatory guidance and, where appropriate, trials.

² <u>https://energyinnovationtoolkit.gov.au</u>

³ <u>https://energyinnovationtoolkit.gov.au/about</u>

How to access the Portal

The Portal can be accessed through the <u>Services page</u> on the Toolkit website.⁴ When you click the link '**Log-in to the Energy Innovation Toolkit Portal**', you will be taken from the Toolkit website to a new environment with AER and Toolkit branding.

AUSTRALIAN Australian Government	Search
Sign in Redeem invitation	
AER Portal Login	Australian Government
* User name	If you need to register for an account to the Energy Innovation Toolkit, please click the Register for Toolkit button below to request access.
* Password	Register for Toolkit
Remember Me As a user of this online service you undertake to submit accurate data on behalf of your organisation and meet all security requirements. Sign in Forgot your password?	AUSTRALIAN ENERGY REGULATOR If you need to register for an account, please click the Register button below to request access to the AER Portal.

This is the Toolkit Portal:

- For **returning users** with existing accounts, the username and password should be inserted and "sign in" clicked.
- For **new users**, click "Register for Toolkit" on the right-hand side. Disregard the Register button below, as this is for users of another AER Portal which is unrelated to the Toolkit.

⁴ <u>https://energyinnovationtoolkit.gov.au/services</u>

Registration as a new user

To register as a new user, enter the information in the fields nominated. Please note that items marked with an asterisk (*) are mandatory fields. This information will be used to contact you for any follow-up questions, and it's important that this information is accurate.

If you are applying on behalf of an organisation (for example, if you are an employee of an organisation and are applying on their behalf) you can link that organisation here. Note that there are also opportunities to add an additional organisation at a later stage in the form.

AUSTRALIAN Australian Government	Search Q
Sign in	
Energy Innovation Toolkit Portal Registra	tion
activate your account. Once this is done your account will be fully active and you can begin working	en submitted, you will receive an invitation via email to complete your registration and with the Energy Innovation Toolkit.
Personal Details First Name *	Last Name *
Email Address *	Telephone *
	Provide a telephone number
Job Title *]
Organisation Association	

Please choose a primary organisation that you will be associated with when using this portal, or if the organisation you want to associate with does not exist in the list, please enter its ABN in the field below instead.

Alternatively, if you do not wish to be associated with an organisation, please select the 'Do not attach to an organisation' option.

 \odot Select the primary organisation that you wish to be associated with

 \odot Enter the ABN for the organisation

 \bigcirc Do not attach to an organisation

Submit Registration Request

Once you have entered the relevant information, you will see the following screen:

	AUSTRALIAN ENERGY REGULATOR	Search	٩	
★ Sign in				
AER Portal Registration				
Your self registration request has been submitted s	uccessfully. If it is approved,	, you will receive an invitation em	ail to activate your account on the AER P	Portal.

You will then receive an invitation email at your nominated email address containing a link. Click on this link to redeem the invitation.

Click the 'Register' button that will take you to the registration screen.

AUSTRALIAN ENERGY REGULATOR
 Sign in Redeem invitation Sign up with an invitation code
* Invitation code
As a user of this online service you undertake to submit accurate data on behalf of your organization and meet all security requirements.

On the registration screen, enter your email and create a username and password.

Australian Government		Search	٩
Sign in			
Sign in Register	Redeem invitation		
Redeeming code:			
Register for a new	account		
* Email			
* Username			
* Password			
* Confirm password			
As a user of this online serv your organisation and mee	ice you undertake to submit accurate data on behalf of all security requirements. Register		

Username tip: This is at the user's discretion – however, we suggest that you use an email for your username as this is easy to remember.

Plea	se read and tick th	e checkbox to acce	ept the terms and	l conditions.
Australian	Government	R AUSTRALIAN ENERGY REGULATOR	Search	٩
A Sign in				
The Australian	s and Conditions	_	tralian Government and access	is restricted to authorised users only
ProvidingKeeping	g correct and up-to-date informat your login details safe and makin	tion to the AER on behalf of your o g sure that only you can access the e else has access to your login deta	e portal with them	
 For any i To acces To insert 	se this online service: llegal or fraudulent activity s data without authority , alter, erase or destroy data witho that impedes or prevents access t			
I agree to th Continue	nese terms and conditions.		Ν	

You are then taken to the Portal home page. By clicking on your name on the menu and clicking "Profile" you may choose to edit some of your details (i.e., first name, last name, email, phone number, website and title.) You may also choose to change your password if necessary.

Australian Government		RALIAN GY Sea LATOR	arch Q
Energy Innovation Toolkit Submission Home > Profile			
Profile			
	First Name		Last Name *
Draft Application			
Profile	E-mail		Business Phone Provide a telephone number
Security	Web Site		Title/Position
Change Password			
Change Email	Company		
	_		
A	dditional Compa	anies	
	Any additional comp	anies you will submit o	data for
	Company Name	ABN	Address

How to apply

First, click on the Energy Innovation Toolkit Submission tab.



This page contains some scoping information about the services offered, and allows users to view a list of their applications (once made).

The Portal will eventually accept applications for:

- (a) informal guidance through the Innovation Enquiry Service (IES)
- (b) a trial waiver from the Australian Energy Regulator (AER) or the Essential Services Commission (ESC), or
- (c) a trial rule change from the Australian Energy Market Commission (AEMC).

Presently, applications can be made for the IES, and for trial waivers from the ESC.

Regulatory Sandboxing legislation enabling the AER to accept trial waiver applications, and the AEMC to accept trial rule changes **has not yet come into effect**. When Regulatory Sandboxing legislation comes into effect, this Guide will be updated with details on how to submit applications through the Portal for these forms of regulatory relief.

Important Note: Applications forms will time out if no action is recorded for 15 minutes. As you move through the form, the data you have entered on previous pages is saved, but if you do not proceed to the next page data on your current page may be lost if the page times out. To prevent data loss, ensure you remain active or move to the next page to save your work. Should you need to exit the form at any time, you can click on the "Energy Innovation Toolkit Submission" tab at the top of the screen. You will be able to return to your draft form by clicking on the "Draft" item shown on this page at a later time. Please note that draft applications are automatically removed from the system after 30 days if they are not actioned.

How to apply for guidance through the Innovation Enquiry Service

On the application page, select "IES Enquiry" from the first dropdown menu:

IES Enquiry Scope Waiver Application Scope Please note: national sandboxing legislation and associated rules have not yet The Innovation Enquiry Service (IES) provides innovators with guidance from the Australian Energy Regulator (AER) and other market bodies on how their come into effect. Trial waiver applications to the AER are currently not being new technologies or business models can be delivered under the current accepted. Applications for trial waivers will open once sandboxing legislation has been enacted. Applications to the Essential Services Commission will open regulatory framework. on 1 July 2022. For more information on what the IES can and cannot provide, please see our Trial waivers allow the AER and Essential Services Commission to grant a time Innovation Enquiry Service FAQ [link]. limited trial waiver for eligible trial projects, exempting an innovator from having to comply with specified rules for a period of time to allow a trial to proceed. For more information on trial waivers, including what innovators may need to provide in a trial waiver application, please visit the Trial page [link] or Trial FAQ [link] Please select the type of submission * IES Enquiry Waiver Application

In the second box, provide a brief description or title for your submission (e.g. *Gold Coast Battery Project*, or *Hydrogen Fuel Cells Enquiry*).

You will be taken to a page containing details about the scope of the IES and a series of agreements. Please read these carefully to understand how we may use and disclose your confidential and personal information, and the terms on which you will receive guidance.

All three agreements on this page must be accepted to continue. You must expand and read each agreement before you can agree to it.	I
Agreements	
Legal Disclaimer	+
I have read, understood and agree to the Legal Disclaimer *	
Knowledge Sharing and Confidential Information Disclosure Statement	+
This section explains how the AER will treat your confidential information, including how this information may be shared with othe Government bodies or regulators to assist in responding to your query. In some cases, confidential information may also be shared more widely in a de-identified and/or aggregated form if there is a benefit to wider knowledge sharing in our agency's reporting, or in FAQs or case-studies that we publish on our website. Please ensure you read and understand this section before proceeding.	d
I have read, understood and agree to the Knowledge Sharing and Confidential Information Disclosure Statement *	
Privacy Statement	+
I have read, understood and agree to the Privacy Statement *	
Next Page	

Energy Innovation Toolkit - Application Type Selection

Note: all three agreements must be opened by clicking the + button and agreed to by clicking the tick box. It is a condition of accessing the service that users accept these terms. You can find more information about our information handling and knowledge sharing processes on our website, but it is important that users understand the knowledge sharing component of the Toolkit and how this is an important part of all regulatory sandboxes (such as the Toolkit).

Once you have viewed and accepted the agreements, you will be taken to a page where you can note any organisations which are associated with your enquiry. This may include agencies you are advising, partner agencies or (if you didn't note them originally when registering as a user) the company you work for in submitting this enquiry, or any other entity you wish to link for any reason.

1	Energy Innovation T	oolkit Submission Draft Application -			
Но	Home > Energy Innovation Too > Energy Innovation Toolkit - IES Enquiry				
E	nergy Inno	vation Toolkit - IES Enquiry - SE	X-0001205		
	Scope and Agreements 🖌	Organisations associated with t	he enquiry		
	Organisations Project Information		anisation(s), and innovation projects may involve multiple project provide information on any other organisation(s) associated with your		
	Enquiry Specifics Supporting Files	Are you submitting an enquiry on behalf of a third-par partnership with other organisation(s)? *	ty, or is the applicant proposing its innovation to be delivered in		
	Summary	Yes	~		
		Related Organisations	Add Organisation		
		Organisation Name 🕇	ABN		
		There are no records to display.			
		Previous Page Next Page			

Should you wish to link an organisation to your enquiry, select "yes" from the drop-down menu and then click "Add Organisation".

Add Organisation	×
Click here to open the ABN Lookup tool	
Please enter the ABN for the organisation *	
Please note: ABN requires 11 characters (with no spaces) or 14 characters (including spaces) for the lookup to occur	
Organisation Name *	
What role are they performing in the delivery of your innovation?	
Create Organisation Cancel	

.

To add an organisation, you will need to enter its Australian Business Number (ABN). You can find this by clicking on the underlined link to search the register of ABNs.

Once you have either added your associated organisation, or have noted that there is no organisation to add, you will be taken to the "Project Information" page. This page asks for details about you (or your organisation) and your project.

Energy Innovation Toolkit - IES Enquiry - SBX-0001205

cope and Agreements 🖌	Project Information
Prganisations 🖌	The information on this page helps us understand the type of projects and organisations that are requiring assistance from the IES in delivering their innovation. The information collected from this page may be disclosed at an aggregate level to help inform knowledge sharing and to help better the service. You, your organisation or your project will not be identifiable.
nquiry Specifics	In which state(s) or territory(ies) is your project or service being delivered? *
upporting Files	Select or search options
ummary	Does your project relate to electricity, gas, or both? Select both answers if it relates to both electricity and gas *
	Select or search options
	Does your project relate to the <u>wholesale</u> , <u>network</u> , <u>retail</u> or <u>generation</u> market? Select all that apply or unsure if you do not know. *
	Select or search options \checkmark
	can tailor our guidance to suit your needs. * Select or search options When will your project be entering the market? *
	If you have received funding or financing, please confirm the source of the funding Select or search options
	Which category best describes your organisation? If your organisation may be a combination of these, please choose the closest description *
	Which of the following Government agencies have you contacted previously regarding your innovation? * Select or search options
	Previous Page Next Page

Some questions are mandatory in order to proceed – these are marked with an asterisk (*).

We will use the information you provide to better answer your question. Don't worry if you are uncertain about the answer to any question – you can select the "unsure" response. You can also hover over underlined terms to see an explanation of what the term means.

If you have previously engaged with a government agency about your project, please note this here. If you engaged with a specific person you may also wish to note them. This may assist us in responding to your enquiry.

Once you click "Next Page" you will be taken to the "Enquiry Specifics" page. The enquiry specifics page is where you will be asked what your specific enquiry is, as well as some other information which will assist us in responding to it.

Enquiry Specifics - What to include and not to include

On this page you will be asked to respond to four questions.

- 1) The first relates to **your project or business model**, specifically "Please provide a summary in a few sentences of your innovative product, service, approach or business model. Please provide enough context of your project to assist AER in providing you with a response."
 - a) We are looking for information about your business or the product/service you are intending to launch. We appreciate any information that will help us to understand how you will run your proposal, and how it will be experienced by end-users. It is also helpful to explain here the project stage you are currently in. Here is an example of an ideal response about a hypothetical company:

I work for Example Company, a new start-up solar company operating in Victoria. We have recently secured funding to purchase land and develop a new form of solar farm outside of Small Town and have already begun development of this 2MW facility (having obtained the relevant development approvals from our local council). Our business model is designed to address a need in Small Town for cheap and clean electricity. We will be selling electricity at up to 30c/MWh cheaper than the market rate by utilising our efficient, newly patented Super Solar technology to generate electricity with 15% less energy loss This is done by [information relating to the technology]. A group of residents in Small Town have joined together to purchase a community battery and are looking to charge this battery at times where costs are low to offset their usage during peak times on the network. We are looking to sell directly from the solar farm to this battery so that the residents can benefit from our cheap, clean and local electricity, without having to subsidise expensive network costs in our isolated town. We are proposing to enter into a Power Purchase Agreement with these residents, and also to offer electricity supply to other residents of Small Town. We are also considering expanding our business in the future (depending on how the roll-out goes) to supplying smaller batteries to individual households to maximise the benefits of cheap off-peak solar generated at our farm. We will be offering "rent to buy" and "buy outright" options for these batteries.

- b) This example is ideal in form as it sets out:
 - i) the nature of the business and products (both present and future)
 - ii) what approvals have already been sought and what action has already been taken
 - iii) the intended customer base and how it will address their needs
 - iv) the context in which it will be operating.
- c) The applicant in this hypothetical example may wish to note that the information relating to the "New Technology" technical specifications is confidential, and information is provided below about the proper way in which to note this.
- d) It is important to note that the example used above is only reflective of one example of the many kinds of enquiries we anticipate we will receive. If your scenario does not resemble the above example this is not an issue and we look forward to hearing from a variety of innovators, community organisations and other entities about your new ideas and services.

- i) While it's helpful to include the information listed in (b), it's okay if you don't know all the answers and if your project is not as developed as the example enquiry above. The IES can also provide feedback on more general regulatory questions, to help orient your thinking.
- e) At this stage feel free to provide us with any detail you feel is necessary to understand your proposal, but please note that a character limit of 5,000 is in place in each box as we are unable to consider vast quantities of information. Should you need to refer to **limited** additional resources, you are able to attach these at the next stage, but please see below comments on additional uploads. Please do not include information containing customer personal information unless you have secured appropriate consents.
- 2) The second relates to the guidance you are seeking, specifically: "Please describe what guidance you are seeking from the Innovation Enquiry Service. By setting out what particular questions you have we can respond to your enquiry faster. If you are unsure about some of your questions, just note this and explain what you want to know broadly."
 - a) We are looking to understand what you want us to help you with. We appreciate that some users will have a very clear question in mind, and others may be less certain. Here are two examples of an equally valid responses about the above hypothetical company:

We are seeking guidance on whether we are obliged to obtain a retailer authorisation from the Australian Energy Regulator, and whether we are eligible for any exemptions from such a requirement. We are also seeking guidance as to whether any further licences or authorisations would be required if we were to branch out into selling/leasing batteries. We have already successfully obtained our development approvals and have a good understanding of our safety obligations but want to check we haven't missed anything.

or

We are unsure of what next steps are. We understand we might need to apply for licences to be able to sell to customers but need help to understand what these are and how to go about that.

- b) In both the above examples, it is clear what assistance we will need to provide. While the first response shows a higher regulatory understanding (and a better grasp of what regulations they might have to contend with), the second is still sufficiently clear for us to provide a response. It is important to note though that a clear, narrow question such as the first example may receive a response faster than the less clear question.
- c) We strongly recommend that all users ensure they have gone to the Website and used the resources (particularly the <u>Regulation Navigator</u> and <u>Use Cases</u>) to find out what obligations may apply. This will likely either give you the information you need to ask a simpler question or may even provide you with a full response on the spot so you don't need to wait.
- 3) The third relates to specific regulatory concerns you may have, specifically: "Are there particular parts of the regulatory framework you are seeking guidance on? If you have specific regulatory questions or there are broader areas of regulation that you need help with, list them here. If you already know of any issues we can do more to ensure these are responded to specifically."

- a) This is not a mandatory field, so if you don't have any specific concerns you can leave this blank.
- b) If, however, you do have concerns about any specific regulatory obligations, you should note these here so we know what to focus on.
- 4) The fourth and final question relates to how your innovation will help consumers.
 - a) Energy is an essential service which people depend upon for their health and wellbeing. As such it is important that consumers are protected in their energy dealings.
 - b) All energy innovations should consider how their business model or proposal will positively or negatively impact consumers.
 - c) If the IES receives high demand and prioritisation criteria are implemented, enquiries which evidence strong consumer benefits may be prioritised over enquiries which do not.
 - d) Consumer benefits can include (but of course aren't limited to) cheaper energy, improved service delivery, increased reliability, increased market competition, and response to customer demands (e.g. customer demands for renewable energy or locally-produced energy). If you are claiming any of these (or other) benefits apply, you should set out **how** they apply.

Confidential Information

At this stage of the application, it might be necessary for you to provide us with certain confidential information relating to your project. You can find out more about our confidentiality and our knowledge sharing processes on the <u>website</u>.

It is a condition of accessing the service that users agree to us sharing **de-identified**, **aggregate information** about their projects for government and knowledge sharing purposes.

If you wish to claim confidentiality over any specific elements of your proposal, you should identify these in the form by clicking on the "If you have any confidential information to identify here, please tick this box and input below" option as follows:

Please provide a summary in a few sentences of your innovative product, service, approach or business model. Please provide enough context of your project to assist AER in providing you with a response. *

I work for Example Company, a new start-up solar company operating in Victoria. We have recently secured funding to purchase land and develop a solar farm outside of Small Town, and have already begun development of this <u>2MW</u> facility (having obtained the relevant development approvals from our local council). Our business model is designed to address a need in Small Town for cheap and clean electricity. We will be selling electricity at up to <u>30c/mwh</u> cheaper than the market rate by utilising our efficient, newly patented Super Solar technology to generate electricity with 15% less energy loss. This is done by [information relating to technology].

If you have any confidential information to identify here, please tick this box and input below

The information relating to the technical specifications of the Super Solar technology is highly confidential and must be treated as such. The information relating to our energy charges is also confidential at this stage prior to launch.

Project Description

The above example shows how to claim confidentiality over certain information. **Please also include** reasons in support of your confidentiality claim in this text box.

Please note that **claiming confidentiality** over certain information in the above manner **does not override** the information sharing agreements set out at the start of the Portal. By consenting to those agreements and completing this application, you agree to us sharing details (including confidential information) about your proposal with the other energy market bodies who are project partners (the Australian Energy Market Commission, the Australian Energy Market Operator and the Essential Services Commission) to enable them to provide responses to your enquiry, and to us sharing de-identified aggregate information about your proposal more broadly for reporting and knowledge sharing.

Supporting files and lodging your enquiry

Once you have completed the enquiry specifics and pressed "Next Page", you will be taken to a page where you can upload supporting files **if necessary**.

Scope and Agreements 🖌	Supporting Files
Agreements 🗸	
Organisations 🖌	Please use this page to upload additional information which will assist us in answering your enquiry. The AER is unable to review large quantities of information. Please ensure that you only upload documents where absolutely
Project Information 🖌	necessary and where this information cannot be entered into other response items in this Portal.
mormation 👻	Please ensure any confidential information is identified appropriately within the attachment before uploading.
Enquiry Specifics	Click here to view the confidential information statement
Supporting Files	
Summary	Optional supporting material file
	Uploaded files
	No files have been uploaded
	Previous Page Next Page

Energy Innovation Toolkit - IES Enquiry - SBX-0001205

Providing supporting files may be helpful in limited circumstances but should not generally be relied upon. **Examples of a helpful supporting** file could include:

- 1) A diagram of a proposed generation plant highlighting how energy will be developed and transported to either the network or to specific premises.
- A copy of advice previously received about the project from which your questions are derived.
- A short (less than 5 pages) business proposal setting out specific plans and consumer models.

Examples of unsuitable supporting files include:

- 1) Marketing material.
- 2) Long (greater than 5 pages) documents not directly relevant to the regulatory question at hand.
- 3) Material duplicating the information you have provided elsewhere in the application.

Uploaded files should only be used where absolutely necessary; instead, users should rely upon the text boxes provided to note any relevant background. We are not able to review large quantities of material for any IES enquiry.

If you are confident that the material you are seeking to upload is directly relevant and helpful (in line with the above guidance), you can upload this by:

(a) selecting the relevant kind of material it is from the drop-down list and

(b) clicking the "Add File" button, and then selecting "choose file".

This will then bring up your local drive through which to upload your chosen document (noting the accepted file types listed on this window). Once you have uploaded the file the following green bar will appear:

Upload File	×
Optional supporting material file	
Choose file Example Document.pdf	
Accepted file types: pdf,jpg,jpeg,png,txt,doc,docx,xls,xlsx	
File name: Example Document.pdf	
File size: 62.81 KB	File type: pdf
File uploaded successfully	
	Upload File Cancel

To proceed, click "Upload File". You will return to the original page and should see the file under "Uploaded files" as follows:

Energy Innovation Toolkit - IES Enquiry - SBX-0001205

Scope and Agreements 🖌	Supporting F	ïles		
Organisations 🖌 Project Information 🖌 Enquiry Specifics	The AER is unable to necessary and where Please ensure any co Policy]	to upload additional information which will assist us in answering review large quantities of information. Please ensure that you on this information cannot be entered into other response items in fidential information is identified appropriately within the attach confidential information statement	y upload documen this Portal.	,
Supporting Files Summary	Optional supporting r	naterial file		✓ Add File
	Uploaded files		File	
	Document Type Optional supporting material file	File Name DXK-ZSA-series-DXK06ZSA-W-DXK09ZSA-W-DXK12ZSA-W- DXK18ZSA-W-User-Manual.pdf	Size 6.78 MB	Delete Download
	Previous Page Ne	xxt Page		

Should you need to upload more than one document this process can be repeated. Once you have uploaded the documents, click "Next Page" to save and progress.

You will then be taken to a summary page containing tabs for each of the pages you have completed. You can review the material by clicking on each of the tabs in light grey pictured here:

Energy Innovation Toolkit - IES Enquiry - SBX-0001205

Summary Details	Organisations	Project Information	Enquiry Specifics	Supporting Files	
		Type *	×	Status *	
SBX-0001	205			Draft	
Title * Gold Coa	st Battery Project				
Submitti	ng User *	Subm	itting User Job Title		
Draft App	lication	Assista	ant Director		
		d and agree to the Leg	al Disclaimer *		
Click	here to view the l	egal disclaimer agreeme	int		
	SBX-0001 Title * Gold Coa Submitti Draft App Agreeme I have	SBX-0001205 Title * Gold Coast Battery Project Submitting User * Draft Application Agreements I have read, understood	SBX-0001205 IES En Title * Gold Coast Battery Project Submitting User * Subm Draft Application Assista Agreements I have read, understood and agree to the Leg	SBX-0001205 IES Enquiry Title * Gold Coast Battery Project Submitting User * Submitting User Job Title * Draft Application Assistant Director	SBX-0001205 IES Enquiry Draft Title * Gold Coast Battery Project Submitting User * Submitting User Job Title * Draft Application Assistant Director Agreements I have read, understood and agree to the Legal Disclaimer *

Once you have reviewed your summary you have the option to:

- (a) Submit your record
 - a. To submit, click "Submit".
- (b) Save your record as draft and exit
 - a. You can choose to save the record in DRAFT status by clicking on "Save and Exit" and come back later to finalise your submission.
 - b. You can then return later and edit this draft within 30 days.
- (c) Edit your application.
 - a. To edit your application, click "Previous". You can navigate back to the section you wish to edit.

Editing or withdrawing your record post submission

Once you have clicked submit, you can click back to the main Energy Innovation Toolkit Submission page (on the top tab) where your application (and any others you have made) will be visible. You may choose to modify an application, or withdraw it, after it is submitted.

Click the drop-down arrow next to the application in question, and select either "edit" to edit the application, or "withdraw" to withdraw the application.

Energy Innovation Toolkit

				Арр	ly Here
ID 🕇	Туре	Title	Status	Submission Date	
SBX-0001205	IES Enquiry	Gold Coast Battery Project	Submitted	02/06/2022 1:15 PM	
					View
IES Enquiry Scope			Waiver Application Scope		Edit Withdraw
The Innovation Enquiry Service (IES) provides innovators with guidance from the Australian Energy Regulator (AER) and other market bodies on how their new technologies or business models can be delivered under the			yet come into effect. Trial waiver a	legislation and associated rules ha applications to the AER are currentl trial waivers will open once sandbo	y not

You will be able to edit your application at any time **until it has been progressed to case management**. Once this occurs the application will no longer be editable through the portal, but we will be in touch regarding the application shortly.

How to apply for a trial waiver

At this stage trial waiver applications can only be made to the ESC for waivers from the Victorian energy regulatory framework. Applications to the AER for trial waivers from the national energy regulatory framework will not be accepted as enabling legislation is yet to be passed.

The application requirements for trial waivers from the Australian Energy Regulator (AER) and the Essential Services Commission (ESC) can be found in their respective guidelines. Please review the guidelines and the explanatory material before applying

- <u>AER Trial Projects Guidelines</u>
- ESC Regulatory sandboxing Trial Project Guideline

On the application page, select "Waiver Applications" from the first dropdown menu:

Energy Innovation Toolkit - Application Type Selection

IES Enquiry Scope

The Innovation Enquiry Service (IES) provides innovators with guidance from the Australian Energy Regulator (AER) and other market bodies on how their new technologies or business models can be delivered under the current regulatory framework.

For more information on what the IES can and cannot provide, please see our Innovation Enquiry Service FAQ.

Waiver Application Scope

Please note: national sandboxing legislation and associated rules have not yet come into effect. Trial waiver applications to the AER are currently **not being accepted**. Applications for trial waivers will open once sandboxing legislation has been enacted. Applications for a Victorian trial waiver are being accepted.

Trial waivers allow the AER and Essential Services Commission to grant a time limited trial waiver for eligible trial projects, exempting an innovator from having to comply with specified rules for a period of time to allow a trial to proceed.

For more information on trial waivers, including what innovators may need to provide in a trial waiver application, please visit the Trial page or Trial FAQs

Trial Rule Change Application Scope

Please note: national sandboxing legislation and associated rules have not yet come into effect. Trial rule change applications to the AEMC are currently not being accepted. Applications for trial rule changes will open once sandboxing legislation has been enacted.

A **trial rule change** allows the Australian Energy Market Commission (AEMC) to temporarily change existing rules or introduce a new rule to allow a trial to proceed. This could be used to temporarily amend existing rules or to temporarily introduce a new rule of limited application.

Trial rule proponents are encouraged to engage with the AEMC before submitting a trial rule change request.

Please select the type of submission *

Waiver Application	~
IES Enquiry	
Waiver Application	
Waiver Application Trial Rule Change Application	

In the second box, provide a brief description or title for your submission (e.g. *Company X Innovative Technology Trial*).

You will be taken to a series of pages containing the following details and agreements:

- the scope of trial waivers and disclaimer
- knowledge sharing and information disclosure statement

privacy statement

Please read these carefully to understand how we may use and disclose your confidential and personal information, and the terms on which we will assess your application.

Note: each of the three pages of agreements must be agreed to by clicking the tick box to proceed. It is a condition of making an application that users accept these terms.

Waiver Scope and Disclaimer

The National Energy Rules require the following to be regarded when considering whether a project may be eligible for a trial waiver:

- a. whether the carrying out and monitoring of the trial project is likely to contribute to the development of regulatory and industry experience
- b. whether the trial project may have an adverse effect on the safety, reliability or security of supply of energy and the measures that the applicant will take to avoid or mitigate such risks;
- c. whether the trial project may have an adverse effect on AEMO's operation and/or administration of the power system, the market, the declared distribution systems and declared transmission system for gas or markets for natural gas and the measures that the applicant will take to avoid or mitigate such adverse effects; and
- d. whether the extent and nature of the trial project confidential information claimed by the applicant may impair:

e. the AER's ability to provide appropriate public transparency in relation to the conduct and outcomes of trial projects; or

- f. the appropriate development of regulatory and industry experience arising from the trial project; and
- g. any other matter required by the NEL.

In considering whether to grant a trial waiver, the AER may have regard to any other matter it considers relevant, including (but not limited to) any relevant consideration that may be specified in these Trial Projects Guidelines

More information can be found in the Regulatory Sandboxing Trial Projects Guideline, that specifies AER's approach to assessing applications according to the eligibility requirements

I confirm that I have read, understood and agree to the Legal Disclaimer *



Consent to Information Sharing

The terms below which are used in the Consent to Information Sharing, have the following meanings in the Consent to Information Sharing:

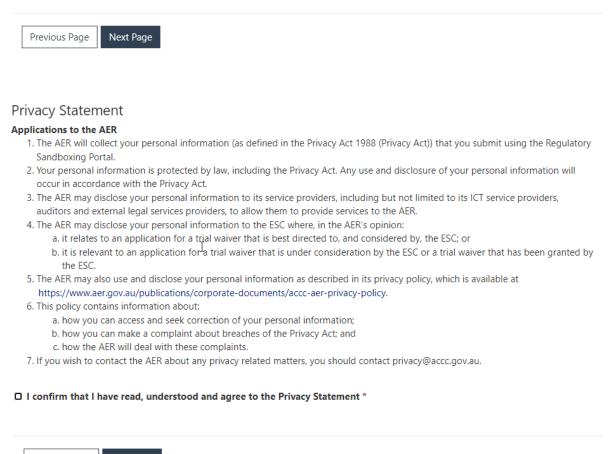
For applications to the AER for a Trial Waiver

- Trial Waiver: has the same meaning as in section 18ZL(1) of the National Electricity Law, section 30W(1) of the National Gas Law and section 121C(1) of the National Energy Retail Law.
- Trial Project Confidential Information: means information regarding a trial project and submitted to the AER in, or in connection with, an application for a trial project that is identified by the applicant as being confidential.
- AER: means the Australian Energy Regulator.

For applications to the ESC for a Trial Waiver

- Trial Waiver: has the same meaning as in section 54(1) of the Electricity Industry Act 2000 and section 55(1) of the Gas Industry Act 2001)
- Trial Project Confidential Information: means Trial Waiver Information submitted to the ESC that is confidential or commercially sensitive.
- Trial Waiver Information: has the same meaning as in section 51 of the Electricity Industry Act 2000 and section 52 of the Gas Industry Act 2001)
- ESC: means the Essential Services Commission established under the Essential Services Commission Act 2001 (Vic)

I confirm that I have read, understood and agree to the Consent to Information Sharing statement *



Previous Page Next Page

Once you have viewed and accepted the agreements, you will be taken to a page where you where you will provide details of the organisation making the application for the trial waiver.

Note: the organisation listed should be the one that requires the waiver for the trial project to proceed. If you are submitting an application on behalf of another organisation, you will provide your details later as a partner organisation.

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Scope and Legal Disclaimer 🖌	Organisation details
Consent to Information	Please click here if you want to open the ABN Lookup tool
Sharing 🖌	Details
Privacy Statement	Please provide the ABN of the organisation seeking the waiver *
Organisation	
Details	Please provide the ACN of the organisation seeking the waiver (if it has one)
General Information	
Partners	Organisation name *
Project Description	
	Provide information about the type of organisation applying for a trial waiver (i.e. whether the applicant is a private
Eligibility Criteria	limited company, partnership, trust, or joint venture etc.) *
Innovative Trial Principles	
Supporting Files	
Summary	

To add an organisation, you will need to enter its Australian Business Number (ABN). You can find this by clicking on the underlined link to search the register of ABNs.

Once you have added the details of the organisation seeking the waiver, you will be taken to the "General Information" page. This page asks for details about the application.

Scope and Legal	General Information
Disclaimer 🖌	Previous Engagement
Consent to	Has the applicant previously engaged with the Energy Innovation Toolkit on this matter? *
Information Sharing 🖌	No
Privacy Statement	Has the applicant previously engaged with the AER or ESC regarding a trial waiver? *
•	v
Organisation Details 🖌	
General	Applicant Licence and Exemptions
Information	Does the applicant hold any licences or exemptions to hold a licence to operate in the electricity and/or gas industry? *
Partners	No
Project Description	AEMO Registration
Eligibility Criteria	Is the applicant registered with the Australian Energy Market Operator as a market participant? st
Innovative Trial Principles	No
Supporting Files	If no, is the applicant intending to register? Provide details of the registration or inquiries made. If the applicant is not intending to register with the Australian Energy Market Operator, please provide a reason *
Summary	

Once you click "Next Page" you will be taken to the "Partner Organisation" page, where you can provide information on any organisations associated with the application. If you are submitting an application on behalf of an organisation, you will provide your details here.

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Scope and Legal	Partner Organisations
Disclaimer 🖌	
Consent to Information Sharing 🖌	Please note, if your partner organisations are subcontractors or other agents, only the 'coordinating entity' needs to submit the application. As part of the application the AER or ESC may require evidence of the agreements between the organisations.
Privacy Statement	Where waivers are required for multiple entities, each partner must submit a waiver. As part of the application process, the AER or ESC may need evidence that the joint application has authority to bind the coordinating entity as well as the partners for which the joint waivers will be provided.
Organisation Details 🖌	Victoria requires a diagram of the corporate and organisational structure, which must identify the partner organisation/s in the diagram. You can upload the diagram with your application.
General Information 🖌	Please click here if you want to open the ABN Lookup tool
Partners	
Project Description	Are you submitting an enquiry on behalf of a third-party, or is the applicant proposing its innovation to be delivered in partnership with other organisation(s)? *
Eligibility Criteria	No
Innovative Trial Principles	
Supporting Files	Previous Page Next Page
Summary	

Once you click "Next Page" you will be taken to the "Project Description" page. The project description page is where you will be asked about the specifics of the trial project that requires the trial waiver to proceed.

Project description

On this page you will be asked to respond to questions on the trial project and the regulatory framework the applicant is seeking a waiver from.

The first section asks for an overview of the trial project. Please provide enough context of your project to assist the AER in understanding your proposed trial project.

At this stage of the application, it might be necessary for you to provide us with certain confidential information relating to your project. How to do so is explained further in the next section 'Confidential Information'.

Scope and Legal	Project Description
Disclaimer 🖌	Overview
Consent to Information Sharing 🖌	Please provide a description of the applicant's project, including if the innovation is a product, service, new business model or other *
Privacy Statement	
Organisation Details 🖌	
General	5,000 characters remaining
Information 🖌	Please tick this box if any information the applicant has provided above is confidential
Partners 🖌	What are the aims, objectives and success criteria for the applicant's project? *
Project Description	
Eligibility Criteria	
Innovative Trial Principles	
Supporting Files	□ Please tick this box if any information the applicant has provided above is confidential
Summary	
	Waiver Frameworks
	Please select if the applicant is seeking a waiver from the National framework, Victorian framework or both *
	Select or search options
	Please tick this box if any information the applicant has provided above is confidential
	Purpose and Assets
	' Why is the trial waiver required to conduct the trial project? *

The second section asks for specifics on the regulatory framework that is acting as a barrier to the trial proceeding, and which the applicant is seeking a waiver from.

Note: applications for trial waivers from the national energy regulatory framework will be assessed by the Australian Energy Regulator (AER). Applications for trial waivers from the Victorian energy regulatory framework will be assessed by the Essential Services Commission (ESC). Please select both frameworks if you require waivers from both the national energy regulatory framework and the Victorian energy regulatory framework.

The following sections relate to the trial project.

Purpose and Assets: why the trial waiver is required to conduct the trial project and if the trial project includes the installation of new infrastructure of assets.

Markets and Locations: the size and type of customer/participant that will be involved in the trial project, and where the customers/participants will be located. If you are unsure of an answer, please select 'unsure'.

Project Progress: the proposed length of the trial project, the stages of the project already completed, and whether the applicant has previously engaged with other market bodies or agencies.

Project Funding: whether the applicant has received funding or financing from a third party, and the sources of this funding.

Project Stage: when the trial project is intended to launch and any other information on the applicant's business development stage.

Once you click "Next Page" you will be taken to the "Eligibility Criteria" page. The eligibility criteria page is where you will be asked about how your project addresses the eligibility requirements.

Eligibility criteria

On this page you will be asked to respond to questions about how your trial project addresses the trial waiver eligibility criteria.

- Further information on the AER trial waiver eligibility requirements and the factors the AER will consider in its assessment can be found in its <u>Draft Trial Projects Guidelines</u> <u>– Explanatory statement</u>.
- Further information on the ESC trial waiver requirements and the factors the ESC will consider in its assessment can be found in its <u>Regulatory sandboxing - Trial Project</u> <u>Guideline</u>.

Scope and Legal Disclaimer 🖌	Eligibility criteria
Consent to Information Sharing ✓	As part of the application, please describe how the trial project will address the following requirements. If you have any supporting documents for this section, you will have the ability to upload these at the end of the application. Please ensure these documents are clearly labelled.
Organisation Details • General	Consumer impacts Please provide a description and identification of the benefits to consumers from the trial project, and how these benefits will be measured *
Information ✓ Partners ✓ Project Description	
	5,000 characters remaining
Eligibility Criteria	Please tick this box if any information the applicant has provided above is confidential
Innovative Trial Principles	
Innovative Trial	Please tick this box if any information the applicant has provided above is confidential Please provide a description of how the needs and requirements of any customers experiencing vulnerability will be supported

The eligibility criteria relate to the following sections.

Consumer Impacts: the benefits, protections and support for consumers experiencing vulnerability the trial project will provide.

Risk Management: the risks to other market participants, the mitigation measures put in place, how the trial project proponent will monitor and respond to risks, and whether the trial

project presents any risks to the safety, reliability and security of energy, electricity or gas supply.

Operational Capacity: the applicant's operational, technical and financial ability to carry out the proposed trial project.

Exit Strategy: a description of the applicant's proposed exit strategy.

Consumer Protection: a description of how the applicant will obtain explicit informed consent (or why this is not required), the applicants dispute resolution process, and the process for customers/trial participants to opt out.

*Disconnections*⁵: the applicant's proposed processes in relation to disconnection if the proposed trial directly involves supply or sale of gas or electricity to small customer.

Development of regulatory experience: how the trial project is expected to contribute to the development of regulatory and industry experience.

Consultation with other regulators: any consultation with other regulators the applicant has undertaken.

Confidential Information: while you are free to claim confidentiality over any part of your application, the extent and nature of the confidential information may impair the AER and/or ESC's ability to provide public transparency in relation to the conduct and outcomes of the trial project or impair the appropriate development of regulatory and industry experience arising from the trial project. In this section you will be asked to consider this.

Innovative Trial Principles

In assessing trial waiver applications, the AER and ESC will take into account innovative trial principles outlined in the respective national and Victorian legislation.⁶

Once sandboxing legislation is passed, the national innovative trial principles will be set out in the National Electricity Law, National Energy Retail Law, and the National Gas Law, with additional principles prescribed in the National Electricity Regulations, National Energy Retail Regulations and the National Gas Regulations.

The Victorian innovative trial principles differ slightly from innovative trial principles specified in the national framework and are set out in the Electricity Industry Act 2000 and Gas Industry Act 2001 and relevant Orders in Council made under those Acts.

⁵ This is only a requirement for applications which previously selected a waiver from the Victorian framework (or both).

⁶ Including any Orders in Council made under the Electricity Industry Act 2000 (Vic) or Gas Industry Act 2001 (Vic).

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Scope and Legal	Innovative Trial Principles
Consent to Information Sharing ✓	As part of your application, please explain how your project will address the following innovative trial principles. While your project does not need to satisfy all the principles, the AER do take them into account in determining whether a trial project is "genuinely innovative" in connection with granting a trial waiver.
Privacy Statement	Please note that you need to respond to each question explaining whether your trial project does or does not apply to the corresponding principle.
Organisation Details 🖌	Innovative Trial Principles
General Information 🖌	Please explain whether the trial project is focused on developing new or materially improved approaches to the use or supply of, or demand for, electricity *
Partners 🖌	
Project Description	
Eligibility Criteria	5,000 characters remaining
Innovative Trial Principles	Please tick this box if any information the applicant has provided above is confidential
Supporting Files	Please explain whether the trial project is likely to contribute to the achievement of the national electricity objective *
Summary	

 $\hfill \square$ Please tick this box if any information the applicant has provided above is confidential

Innovative Trial Principles Scope and Legal Disclaimer 🖌 Victorian Specific Requirements Consent to Information Sharing 🗸 As part of the application, you will need to describe how the project will address each of the following innovative trial principles. If an innovative trial principle does not apply to the project, you will need to outline the reasons why its not applicable. The Privacy Statement commission takes each innovative trial principle into account in determining whether a trial project is genuinely innovative. The commission must also consider whether the trial project is likely to contribute to the achievement of the commission's Organisation statutory objectives when it exercises its powers and performs its functions, including when considering applications for trial Details 🗸 waivers. This is pursuant to the Electricity Industry Act 2000 (Vic), the Gas Industry Act 2001 (Vic) and the Essential Services Commission Act 2001 (Vic). General Information 🖌 The objectives are outlined in: • Section 8 of the Essential Services Commission Act 2001 (also see section 8A of the Essential Services Commission Act 2001), Partners 🖌 · Section 10 of the Electricity Industry Act 2000, and/or • Section 18 of the Gas Industry Act 2001 Project Description Please explain whether the trial project is focused, or will it continue to focus, on developing new or materially improved Eligibility Criteria approaches to the use or supply of, or demand for electricity and/or gas * Innovative Trial Principles Supporting Files Summary 5,000 characters remaining

Energy Innovation Toolkit - Waiver Application - SBX-0001216

 \square Please tick this box if any information the applicant has provided above is confidential

The relevant innovative trial principles will display depending on whether you have selected 'National Energy Framework' or 'Victorian Energy Framework' in the Project Description page.

You must respond to each innovative trial principle; however, the trial project does not necessarily need to address each innovative trial principle to be considered innovative. If the innovative trial principle does not apply to the trial project, you will need to outline the reasons why it is not applicable.

Further information on the AER's approach to assessing the innovative trial principles can be found in its <u>Draft Trial Projects Guidelines – Explanatory statement</u>.

Further information on the ESC's approach to assessing the innovative trial principles can be found in its <u>Regulatory sandboxing - Trial Project Guideline</u>.

Confidential Information

Throughout the application, you can claim confidentiality over any specific part of its application.

Please note that the AER and ESC must consider the extent and nature of the confidential information and if it will impair the AER and/or ESC's ability to provide public transparency in relation to the conduct and outcomes of the trial project or impair the appropriate development of regulatory and industry experience arising from the trial project. If the applicant claims significant parts of its application as confidential, this may impact on its eligibility for a trial waiver.

If you wish to claim confidentiality over any specific elements of your application, you may identify these in the form by clicking on the "If you have any confidential information to identify here, please tick this box and input below" option as follows:

Home > Energy Innovation Too... > Energy Innovation Toolkit - Waiver Application

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Scope and Legal	Project Description							
Disclaimer 🖌	Overview							
Consent to Information Sharing 🖌	Please provide a description of the applicant's project, including if the innovation is a product, service, new business model or other *							
Privacy Statement	I work for Example Company, a new start-up solar company operating in Victoria. We have recently secured funding to purchase land and develop a new form of solar farm outside of Small Town and have already begun development of this <u>2MW</u> facility (having obtained the relevant development approvals from our local council). Our business model is designed to address a need in Small Town for cheap and clean electricity. We will be selling electricity at up to 30c/mwh cheaper than the							
Organisation Details 🖌	market rate by utilising our efficient, newly patented Super Solar technology to generate electricity with 15% less energy loss This is done by [information relating to the technology].							
General Information 🖌	Please tick this box if any information the applicant has provided above is confidential Please place the applicant's confidential information below *							
Partners 🖌	The information relating to the technical specifications of the Super Solar technology is highly confidential and must be							
Project Description	treated as such. The information relating to our energy charges is also confidential at this stage prior to launch.							
Eligibility Criteria								
Innovative Trial Principles	238 characters used, 4,762 characters remaining							

Supporting files and lodging your application

Once you have completed the enquiry specifics and pressed "Next Page", you will be taken to a page where you can upload supporting files.

The Essential Services Commission requires the applicant to provide certain mandatory documents to support its application. The AER does not require the mandatory provision of documents, but recommends the applicant provide any material that will support its application.

Below states the supporting documents the ESC requires an applicant to upload on the online trial waiver form, together with some guidance text. These are applicable to applications for the Victorian framework only.

Supporting documents

Diagram of corporate and organisational structure (mandatory)

Provide a diagram of the:

a) corporate or organisational structure (including any parent and related companies within the meaning of the Corporations Act 2001), and

Upload attachment

b) organisational chart (including composition of the board, management, and other key personnel responsible for the key functions).

Upload attachment

Risk management plan (mandatory)

Provide documentation to demonstrate the applicant has identified the risks associated with the trial project and any proposed risk management systems and processes to address those risks.

Upload attachment

Registration with the Australian Energy Market Operator (non-mandatory)

Provide documentation to demonstrate the applicant is already registered with the Australian Energy Market Operator or if the applicant is intending to register, provide evidence of the registration enquiries.

Upload attachment

Financial resources (mandatory)

Provide documentation to demonstrate the applicant has received funding or financing from a third party, if applicable, and has access to sufficient financial resources to carry out the proposed trial project.

Upload attachment

Technical capacity (mandatory)

Provide evidence of the applicant's technical capacity to carry out the proposed trial project. This may include details of the skills and experience of key persons involved in the project and details of any third-party expertise that may have been leveraged.

Upload attachment

Access to a Dispute resolution scheme (mandatory)

If applicable, provide evidence the applicant is already a member of the Energy and Water Ombudsman (Victoria) or has approached the Energy and Water Ombudsman (Victoria) about joining the scheme. If there is an alternative form of external dispute resolution proposed, then provide details of the alternative scheme.

Upload attachment

Statutory declaration (mandatory)

The applicant must declare that all information provided in the application for a trial waiver is true and correct. The applicant must provide an executed statutory declaration. The statutory declaration must be made by the applicant (where the applicant is an individual) or a director of the applicant (where the applicant is a corporation) and must be made in accordance with the requirements of the Oaths and Affirmations Act 2018 (Vic).

An example of a statutory declaration form can be found <u>here</u>. Information for authorised witnesses can be found <u>here</u>.

To upload documents:

- (a) select the relevant kind of material it is from the drop-down list and
- (b) click the "Add File" button, and then selecting "choose file".

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Scope and Legal Disclaimer 🖌	Supporting Files							
Consent to Information Sharing 🖌	Optional supporting material file Add File Uploaded files							
Privacy Statement	No files have been uploaded							
Organisation Details 🖌								
General Information 🖌								
Partners 🖌	Previous Page Next Page							
Project Description								
Eligibility Criteria								
Innovative Trial Principles 🖌								
Supporting Files								
Summary								

This will then bring up your local drive through which to upload your chosen document (noting the accepted file types listed on this window). Once you have uploaded the file the following green bar will appear:

Energy Innovation	Toolkit Submis	Upload File	×
Home > Energy Innovati	ion Too > Ei	Optional supporting material file	
Energy Inno	vation ⁻	Choose file Company X Business plan.docx Accepted file types: pdf.jpg.jpeg.png.txt.doc.docx.xls.xlsx	j
Scope and Legal Disclaimer 🖌	Supp		
Consent to	Option	File name: Company X Business plan.docx	✓ Add File
Information		File size: 30.31 KB File type	e docx
Sharing 🖌	Uploade	File contains confidential data	
Privacy Statement			
~	No fil	File uploaded successfully	
Organisation Details 🗸			
General Information 🖌		Upload File Ca	incel
Partners 🖌	Previou	s Page Next Page	

To proceed, click "Upload File". You will return to the original page and should see the file under "Uploaded files" as follows:

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Scope and Legal Disclaimer 🖌	Supporting Files					
Consent to	Optional supporting material file	9			~	Add File
Information Sharing 🖌	Uploaded files					
Privacy Statement	Document Type	File Name	File Size	Confidential		
Organisation Details 🖌	Optional supporting material file	Company X Business plan.docx	30.31 KB	No	Delete	Download
General Information 🖌						
Partners 🖌	Previous Page Next Page					
Project Description						
Eligibility Criteria						
Innovative Trial Principles 🖌						
Supporting Files						
Summary						

Should you need to upload more than one document this process can be repeated. Once you have uploaded the documents, click "Next Page" to save and progress.

You will then be taken to a summary page containing tabs for each of the pages you have completed. You can review the material by clicking on each of the tabs in light grey pictured here:

Scope and Legal Disclaimer 🖌	Summary Organisation General Partners Project Eligibility Trial Principles Files							
Consent to Information Sharing ✔	Details ID * Type * Status * SBX-0001216 Waiver Application Draft							
Privacy Statement	Title *							
Organisation Details 🖌	Company X Innovative Technology Trial Submitting User * Job Title *							
General Information 🖌								
Partners 🖌								
Project Description	Agreements I confirm that I have read, understood and agree to the Legal Disclaimer *							
Eligibility Criteria	Click here to view the legal disclaimer agreement							
Innovative Trial Principles 🖌								
Supporting Files	I confirm that I have read, understood and agree to the Consent to Information Sharing statement *							
Summary	Click here to view the Confidential Information Disclosure Statement							

Energy Innovation Toolkit - Waiver Application - SBX-0001216

Once you have reviewed your summary you have the option to:

- (c) Submit your record
 - a. To submit, click "Submit".
- (d) Save your record as draft and exit
 - a. You can choose to save the record in DRAFT status by clicking on "Save and Exit" and come back later to finalise your submission.
 - b. You can then return later and edit this draft within 30 days.
- (e) Edit your application.
 - a. To edit your application, click "Previous". You can navigate back to the section you wish to edit.

Editing or withdrawing your record post submission

Once you have clicked submit, you can click back to the main Energy Innovation Toolkit Submission page (on the top tab) where your application (and any others you have made) will be visible. You may choose to modify an application, or withdraw it, after it is submitted.

Click the drop-down arrow next to the application in question, and select either "edit" to edit the application, or "withdraw" to withdraw the application.

You will be able to edit your application at any time **until it has been progressed to case management**. Once this occurs the application will no longer be editable through the portal, but we will be in touch regarding the application shortly.

Summary	Organisation	General	Partners	Project	Eligibility	Trial Principles	Files		
Details									
ID *				Type *				Status *	
SBX-000)1236			Waiver	Application			Submitted	
Title *									
Submit	ting User *			Submi	tting User Jo	h Titlo *			
Submit	ung oser			Subili	tung oser Jo	5 The			
Initial S	ubmission Date			Latest	Submission [Date			
08/08/2	022 12:28 PM			08/08/	2022 12:28 PN	1			
Waiver /	Application A	Artefacts							
	sion Detail PDF	Arteracts							
SBX-000)1236_WaiverAppli	ication_Subm	nission.pdf			Dov	vnload		
6	Chantar and a 6 East								
Not Upl	Statement of Fac								
			_						
	Certificate Docur	nent Name	Ð						
Not Upl	oaded								

You will also be able to download a PDF summary of your application on the 'Summary' page.

Note: some questions may appear in the PDF that were not presented to you in the application process. Questions will differ depending on whether you are applying to the AER or ESC for a trial waiver. Responses to questions not presented to you will be left blank.

How to apply for a trial rule change

The Portal is **not currently** accepting trial rule change applications.

When Regulatory Sandboxing legislation passes, the AEMC will have a new power to assess trial rule change requests and make trial rules to allow trials to proceed. This could be used to temporarily amend existing rules or to temporarily introduce a new rule of limited application.

Trial rule change requests will be received through the Energy Innovation Toolkit, and will be forwarded to the AEMC for assessment. Where a trial requires both a trial rule change and trial waiver, the AEMC will work closely with the AER to craft trial rule change conditions.

Once this power is in force, this Guide will be updated with information about how to apply through the Portal for a trial rule change.

Further Assistance

For further information, please contact us via our contact page.

You can find useful resources about how to navigate the energy market, and what regulations might apply to your project on our website (<u>energyinnovationtoolkit.gov.au</u>) and we encourage you to start there.